RUST COLLEGE - SEXUAL MISCONDUCT POLICY PROHIBITED CONDUCT (INCLUDING TITLE IX MISCONDUCT) PROCEDURES

Rust College is committed to providing a workplace and educational environment, as well as other benefits, programs, and activities, that are free from all forms of sexual harassment and sexual misconduct. To ensure compliance with federal and state civil rights laws and regulations, and to affirm its commitment to promoting the goals of fairness and equity in all aspects of the educational and employment programs or activities, the College has developed internal policy and procedures that provide a prompt, fair, and impartial process. This policy applies to all College students and employees, visitors, and individuals doing business with the College.

All members of the College community are expected to conduct themselves in a manner that does not negatively affect an individual's education or work experience or the overall environment, whether on College premises or at any off-campus location.

The core purpose of this policy is the prohibition of all forms of sexual harassment and sexual misconduct. When a complaint of an alleged violation of Prohibited Conduct (including Title IX Misconduct), as defined by this policy is received, the allegations are subject to resolution using "Process A" or "Process B," as determined by the Title IX Coordinator, and as detailed within this policy.

The College's Title IX Coordinator oversees implementation of the College's Sexual Misconduct policy. The Title IX Coordinator has the primary responsibility for coordinating the College's efforts related to the intake, investigation, process, resolution, and implementation of supportive measures to stop, remediate, and prevent sexual harassment and sexual misconduct in all forms prohibited under this policy.

The Title IX Coordinator (and all employees associated with Title IX Compliance) act with independence and authority free from bias and conflicts of interest. Employees are vetted and trained to ensure they are not biased for or against any party in a specific case, or for or against Complainants and/or Respondents, generally.

All Inquiries, Reports or Formal Complaints of sexual misconduct or sexual harassment as prohibited by this policy, including, questions or concerns, may be made to:

Austin Rayford
Title IX Coordinator

arayford@rustcollege.edu

Shaw Hall 150 Rust Ave. Holly Springs, MS 662.252.8000 ext. 4804

Angela Williams

Deputy Title IX Coordinator
awilliams@rustcolleg.edu

To raise a concern involving bias or conflict of interest by the Title IX Coordinator, or to report misconduct or discrimination by the Title IX Coordinator, contact the Vice President of Enrollment Management and Student Engagement, Dr. Louis Cook at look@rustcollege or designee. Concerns of bias or a potential conflict of interest by any other employee associated with the Title IX Office should be raised with the Title IX Coordinator.

Jurisdiction

This policy applies to the employment or educational program and activities of College, to conduct that takes place on the campus or on property owned or controlled by the College, at College-sponsored events, or in buildings owned or controlled by College recognized student organizations.

This policy may also be applicable to the effects of off-campus prohibited conduct defined by this policy that effectively deprive someone of access to the College's educational program or work experience. The College may also extend jurisdiction to off-campus and/or to online conduct when the Title IX Coordinator determines that the conduct affects a substantial College interest. All vendors serving the College through third-party contracts are subject to these policies and procedures.

Regardless of the location of conduct, the College will address notice and/or complaints to determine whether the conduct occurred in the context of its employment or educational program or activity and/or has continuing effects on campus or in an off-campus sponsored program or activity. A substantial College interest includes:

- a. Any action that constitutes a criminal offense as defined by law. This includes, but is not limited to, single or repeat violations of any local, state, or federal law;
- Any situation in which it is determined that the Respondent poses an immediate threat to the
 physical health or safety of any student, employee, member of the College Community or
 other individual;
- c. Any situation that significantly impacts upon the rights, property, or achievements of an individual or significantly breaches the peace and/or causes social disorder; and/or
- d. Any situation that is detrimental to the educational interests or mission of the College.

Study-abroad programs and off-campus locations that are not within the College's education program or activity are not covered by the Title IX regulations, but may constitute Prohibited Conduct under this Policy.

Definitions

For purposes of this Policy, some key terms are defined below. Additional terms are defined throughout the Policy

<u>Appeal Decision Maker:</u> College official charged with reviewing and deciding appeals for the Formal Resolution and Formal Grievance Process Resolution matters.

- For purposes of this policy, the Vice President of Enrollment Management and Student Engagement, or their designee, serves as the Appeal Decision Maker for students.
- For purposes of this policy, the Vice President for Business Affairs, or their designee, serves as the Appeal Decision Maker for employees.

<u>Complainant:</u> A Student, Employee, or Third Party who is reported to have experienced Prohibited Conduct (including Title IX Misconduct) as defined by this policy.

<u>Education Program or Activity:</u> Locations, events, or circumstances where the College exercises substantial control over both the Respondent and the context in which the Prohibited Conduct (including Title IX Misconduct) occurs and also includes any building owned or controlled by a student organization that is officially recognized by the College.

Employee: Any faculty (including, but not limited to, regular, visiting, or adjunct), any individual who has an appointment at the College, and all regular and temporary staff, including student employees.

Formal Complaint: A written statement, signed by a Complainant or by the Title IX Coordinator, alleging a Respondent engaged in Prohibited Conduct (including Title IX Misconduct) and requesting a College Investigation.

<u>Formal Grievance Process:</u> A method of formal process designated by the College to address conduct that falls within this policy, and which complies with the requirements of the Title IX Regulations (34 CFR Part 106.45).

<u>Hearing Official</u>: College official charged with holding hearing procedures during a Formal Grievance Hearing.

- For purpose of this policy, the Vice President of Enrollment Management and Student Engagement, or their designee, serves as the Hearing Official for students.
- For purpose of this policy, the Vice President of Business Affairs, or their designee, serves as the Hearing Official for employees.

<u>Informal Resolution</u>: A method of resolution that requires agreeance by all Parties involved, as well as the College, and is designated by the College to address conduct that falls within this policy.

<u>Investigator:</u> Persons charged by the College with gathering facts about an alleged violation of this Policy, assessing relevance and credibility, synthesizing the relevant evidence, and compiling this information into an investigation report.

Parties: The Complainant(s) and Respondent(s), collectively.

<u>Process A</u>: The process used for allegations of Prohibited Conduct (but not Title IX Misconduct) detailed and defined below.

Process B: The process used for allegations of Title IX Misconduct detailed and defined below.

Reasonable Person: A person using average care, intelligence and judgment in the known circumstance (similar circumstances and with similar identities to the Complainant).

<u>Respondent:</u> An individual who has been reported to be the perpetrator of conduct that could constitute Prohibited Conduct (including Title IX Misconduct) under this policy.

Standard of Proof: Preponderance of the evidence threshold that is measured by the Hearing Board to determine if it is more likely than not a violation occurred.

Student: Any person pursuing academic studies at the College, including, but not limited to, any person currently enrolled, previously enrolled, or who is reasonably anticipated to seek enrollment at a future date, or a person who has applied to or been accepted for admission.

<u>Title IX Coordinator</u>: Official designated by the College to ensure compliance with Title IX and this policy. References to the Title IX Coordinator throughout this policy may also encompass a designee of the Title IX Coordinator for specific tasks.

Misconduct Definitions

Prohibited Conduct (Process A) ¹

"Prohibited Conduct" encompasses all unwelcome conduct based on sex or gender that is so severe and/or pervasive that it has the purpose or effect of unreasonably interfering with a person's College employment, academic performance or participation in College programs or activities, or creates a working, learning, program or activity environment that a reasonable person would find intimidating, hostile or offensive.

"Prohibited Conduct" includes Non-Consensual Sexual Intercourse, Non-Consensual Sexual Contact; Sexual Assault; Sexual Exploitation; Sexual Harassment; Dating/Domestic Violence; Gender Discrimination/Harassment; Retaliation; and Title IX Misconduct.

Some Prohibited Conduct, that is sufficiently severe, pervasive, and objectively offensive that it effectively denies a person equal access to the College's education program or activity and conduct that conditions an aid or benefit on unwelcome sexual activity, may also constitute Title IX Misconduct. Title IX Misconduct is a subset of Prohibited Conduct that rises to a level of severity and pervasiveness such that it is prohibited expressly by Title IX Regulations.

Title IX Misconduct (Process B)²

"Title IX Misconduct" is a subset of Prohibited Conduct that rises to a level of severity and pervasiveness such that it is prohibited expressly by Title IX Regulations. Conduct that does not meet this strict definition for Title IX Misconduct is still prohibited by this Policy if it otherwise constitutes Prohibited Conduct.

Alleged conduct is Title IX Misconduct (though it may still be Prohibited Conduct) only if:

- The alleged conduct was perpetrated against a person in the United States;
- The conduct took place within the College's Programs or Activities; and
- At the time a Formal Complaint is filed, the Complainant was participating in or attempting to participate in the College's programs or activities.

¹ For a definition of each type of Prohibited Conduct, see page 5. For a full overview of Process A, see page 17.

² For a definition of each type of Title IX Misconduct, see page 7. For a full overview of Process B, please see page 19.

Types of Prohibited Conduct

1) Non-Consensual Sexual Contact (Prohibited Conduct)

Any intentional sexual touching, directly or indirectly, without a person's affirmative consent. Intentional sexual touching includes deliberate contact, under or over clothing, with the breasts, buttocks, groin, or genitals, or conscious and willful touching another with any of these body parts; making another person touch any of these body parts under or over clothing; and the emission of ejaculate on the clothing or body of another person.

2) Non-Consensual Sexual Intercourse (Prohibited Conduct)

Any sexual intercourse, however slight, that is without consent and/or by force. Intercourse includes, but is not limited to, any anal, oral or vaginal penetration by a penis, object, tongue or finger and oral copulation (mouth to genital contact or genital to mouth contact), no matter how slight the penetration or contact.

3) **Sexual Exploitation (Prohibited Conduct)**

Non-consensual abuse or exploitation of another person's sexuality for the purpose of sexual gratification, financial gain, personal benefit or advantage, or any other purpose.

Examples of sexual exploitation include, but are not limited to:

- Invasion of sexual privacy
- Taking pictures, video, or audio recording of another in a sexual act, or in any other sexuallyrelated activity when there is a reasonable expectation of privacy during the activity, without
 the consent of all involved in the activity; or exceeding the boundaries of consent, including the
 making or posting of revenge pornography
- Non-consensual photographing individuals who are partly undressed, naked, or engaged in sexual acts and transmitting, distributing, or posting those photographs without an individual's consent
- Observing unsuspecting individuals who are partly undressed, naked, or engaged in sexual acts
- Prostituting another person
- Knowingly transmitting a sexually transmitted disease (STD)
- Exposing one's breasts, buttocks, or genitals without affirmative consent or inducing another to do the same
- Causing or attempting to cause the incapacitation of another person (through alcohol, drugs, or any other means) for the purpose of compromising that person's ability to give consent to sexual activity, or for the purpose of making that person vulnerable to non-consensual sexual activity
- Misappropriation of another person's identity on apps, websites, or other venues designed for dating or sexual connections
- Forcing a person to take an action against that person's will by threatening to show, post, or share information, video, audio, or an image that depicts the person's nudity or sexual activity (including for financial gain and/or blackmail)
- Knowingly soliciting a minor for sexual activity
- Engaging in sex trafficking
- Knowing creation, possession, or dissemination of child pornography

4) **Sexual Harassment (Prohibited Conduct)**

Unwelcome sexual advances, requests for sexual contact or favors, conduct based on gender stereotypes, or other verbal, non-verbal, physical, or visual conduct of a sexual nature constitutes sexual harassment when:

- Submission to such conduct is made either explicitly or implicitly a term or condition of an individual's academic, co-curricular, or campus life activities or of an individual's employment;
- Submission to or rejection of such conduct by an individual is used as the basis for academic or student life or employment decisions affecting that individual;
- The conduct is so severe and/or pervasive that it unreasonably interferes with a person's College employment, academic performance, or participation in College programs or activities; or
- The conduct is so severe and/or pervasive that it creates an intimidating, hostile, demeaning, or offensive campus or living environment or employment setting.

Depending upon the severity and/or pervasiveness of the conduct, sexual harassment may include, for example, subjecting a person to unwelcome sexual attention, physical or verbal advances, sexual flirtations or propositions, vulgar talk or jokes, degrading graphic materials, objects or verbal comments of a sexual nature about an individual's appearance.

Sexual harassment can occur regardless of the relationship, job title or respective sex, sexual orientation and/or gender identity of the parties.

This definition addresses intentional conduct. It also includes conduct that results in negative effects even though such negative effects were unintended.

5) <u>Dating Violence (Prohibited Conduct)</u>

Violence, on the basis of sex, committed by a person, who is in or has been in a social relationship of a romantic or intimate nature with the Complainant.

For the purposes of this definition Dating Violence includes, but is not limited to, emotional, mental, verbal, sexual or physical abuse or the threat of such abuse.

6) Gender Harassment (Prohibited Conduct)

Gender Harassment includes harassment based on actual or perceived sex, sexual orientation, sexstereotyping, gender identity, gender expression, or pregnancy. Such harassment may include acts of aggression, intimidation, or hostility, whether verbal, graphic, physical, or otherwise. For purposes of this Policy, Gender Harassment encompasses gender-based discrimination, including, but not limited to, exclusion from any employment or educational activity or experience.

For purposes of this Policy, a severe incident, even if isolated, can be sufficient.

Examples of conduct that may constitute Gender-Based Harassment include, but are not limited to:

- A series of written, verbal, or electronic statements that disparage a person based on their actual or perceived sex, gender identity, gender expression, sexual orientation, or pregnancy;
- Disparagement of a person based on perceived lack of stereotypical masculinity or femininity;
- Threats of violence toward an individual based on their actual or perceived identity; within a protected class, or toward an entire sex, gender identity, gender expression, sexual orientation, or pregnancy status as a group; and/or
- Defacing College property, or another individual's property, with symbols or language intended or understood by a Reasonable Person to disparage or threaten a person or group based on sex, gender identity, gender expression, sexual orientation, or pregnancy.

This definition addresses intentional conduct. It also includes conduct which results in negative effects even though such negative effects were unintended.

Types of Title IX Misconduct

Federal law requires the College to define Title IX Sexual Harassment as including Sexual Assault (as defined in 20 U.S.C. 1092(f)(6)(A)(v)), Dating Violence (as defined in 34 U.S.C. 12291(a)(10)), Domestic Violence (as defined in 34 U.S.C. 12291(a)(8)), and Stalking (as defined in 34 U.S.C. 12291(a)(30)). The statutory definitions are the definitions that the College must and will use to decide whether reported conduct falls within the scope of Title IX Misconduct for purposes of this Policy.

7) Sexual Harassment (Title IX)

Sexual Harassment, as an umbrella category, includes the offenses of sexual harassment, sexual assault, domestic violence, dating violence, and stalking as defined by Title IX Regulations.

Conduct on the basis of sex³ or that is sexual in nature that satisfies one or more of the following:

A. Quid Pro Quo (Title IX):

- An employee of the College,
- conditions⁴ the provision of an aid, benefit, or service of the College,
- on an individual's participation in unwelcome sexual conduct.

B. Sexual Harassment (Title IX):

- Unwelcome conduct,
- determined by a reasonable person,
- to be so severe, and
- pervasive, and,
- objectively offensive,
- that it effectively denies a person equal access to the College's education program or activity.⁵

C. Sexual Assault (Title IX)

- Any sexual act⁶ directed against another person,
- without the consent of the Complainant,
- including instances in which the Complainant is incapable of giving consent.

This includes in which the Complainant is incapable of giving consent, such as:

• Sexual intercourse with another person, including oral or anal sexual intercourse, or the use of an object or instrument to unlawfully penetrate, however slightly, the genital or anal opening of the body of another person, without consent of the complainant, including instances where the complainant is incapable of giving consent because of their age or because of their temporary or permanent mental or physical incapacity;

³Including sexual orientation and sex stereotypes.

⁴ Implicitly or explicitly.

Severity, pervasiveness, and objective offensiveness are evaluated based on the totality of the circumstances from the perspective of a reasonable person in the same or similar circumstances ("in the shoes of the Complainant"), including the context in which the alleged incident occurred and any similar, previous patterns that may be evidenced. This definition is broad enough to potentially encompass forms of sex-based disparate treatment, even if not harassing in nature.

⁶ This would include having another person touch you sexually, forcibly, and/or without their consent.

- Touching of the private body parts of another person for the purpose of sexual
 gratification, without the consent of the complainant, including instances where the
 complainant is incapable of giving consent because of their age or because of their
 temporary or permanent mental or physical incapacity;
- Sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by law; or
- Sexual intercourse with a person who is under the statutory age of consent

D. <u>Dating Violence (Title IX)</u>

- · Violence,
- on the basis of sex,
- committed by a person,
- who is in or has been in a social relationship of a romantic or intimate nature with the Complainant.
 - i. The existence of such a relationship shall be determined based on the Complainant's statement and with consideration of the length of the relationship, the type of relationship, and the frequency of interaction between the persons involved in the relationship. For the purposes of this definition—
 - ii. Dating violence includes, but is not limited to, sexual or physical abuse or the threat of such abuse.
 - iii. Dating violence does not include acts covered under the definition of domestic violence.

E. Domestic Violence (Title IX)

- Violence.
- on the basis of sex,
- committed by a current or former spouse or intimate partner of the Complainant,
- by a person with whom the Complainant shares a child in common, or
- by a person who is cohabitating with, or has cohabitated with, the Complainant as a spouse or intimate partner, or
- by a person similarly situated to a spouse of the Complainant under the domestic or family violence laws of Mississippi, or
- by any other person against an adult or youth Complainant who is protected from that person's acts under the domestic or family violence laws of Mississippi.

To categorize an incident as Domestic Violence under this Policy, the relationship between the Respondent and the Complainant must be more than just two people living together as roommates. The people cohabitating must be current or former spouses or have an intimate relationship.

F. Stalking (Title IX)

- Engaging in a course of conduct,
- on the basis of sex,
- directed at a specific person, that
 - i. would cause a reasonable person to fear for the person's safety, or
 - ii. the safety of others; or
 - iii. Suffer substantial emotional distress.

For the purposes of this definition—

- (i) Course of conduct means two or more acts, including, but not limited to, acts in which the Respondent directly, indirectly, or through third parties, by any action, method, device, or means, follows, monitors, observes, surveils, threatens, or communicates to or about a person, or interferes with a person's property.
- (ii) Reasonable person means a reasonable person under similar circumstances and with similar identities to the Complainant.
- (iii) Substantial emotional distress means significant mental suffering or anguish that may but does not necessarily require medical or other professional treatment or counseling.

8) Retaliation (Prohibited Conduct and Title IX Misconduct)

Any adverse action or threated action, taken or made, personally or through a third party, in response to an individual who has filed a report or complaint, an individual who is the Respondent in any report or complaint, or any other individual who has assisted, engaged or participated in any manner in connection with a report, complaint or inquiry in this Policy. Retaliation includes intimidation, coercion, threats, harassment, discrimination or any type of adverse action taken against any individual.

In addition, the College reserves the right to take disciplinary action against any College student or employee who intervenes, interferes or intrudes on the College process. This includes directly or indirectly contacting parties or witnesses and attempting to coerce, correct, change, deter, sway, or alter the memories, statements or testimony of any individual.

Additional Definitions

As used in Prohibited Conduct and Title IX above, the following definitions and understandings apply:

Force:

The use of physical violence and/or physical imposition to gain sexual access. Force also includes threats, intimidation (implied threats), and coercion that is intended to overcome resistance or produce consent.

Coercion:

The unreasonable pressure for sexual activity. When someone makes clear that they do not want to engage in certain sexual activity, that they want to stop, or that they do not want to go past a certain point of sexual interaction, continued pressure beyond that point can be coercive.

Consent:

Knowing, voluntary, and clear permission, by words or actions, to engage in sexual activity.

Individuals may perceive and experience the same interaction in different ways. Therefore, it is the responsibility of each party to determine that the other has consented before engaging in the activity. If consent is not clearly provided prior to engaging in the activity, consent may be ratified by word or action at some point during the interaction, but clear communication from the outset is strongly encouraged.

For consent to be valid, there must be a clear expression in words or actions that the other individual consented to that specific sexual conduct. Reasonable reciprocation may be implied. Consent can also be withdrawn once given, as long as the withdrawal is reasonably and clearly communicated. If consent is withdrawn, that sexual activity should cease. Consent to some sexual contact (such as kissing) cannot be presumed to be consent for other sexual activity (such as intercourse). A current or previous intimate relationship does not constitute consent.

The existence of consent is based on the totality of the circumstances evaluated from the perspective of a reasonable person in the same or similar circumstances, including the context in which the alleged incident occurred and any similar, previous patterns that may be evidenced.

Examples situations without consent include, but are not limited to, incompetence, impairment from alcohol and/or other drugs, fear, unconsciousness, intimidation, coercion, confinement, isolation, or mental or physical impairment.

Incapacitation:

A state in which an individual cannot make rational, reasonable decisions because they lack the capacity to give knowing/informed consent (e.g., to understand the "who, what, when, where, why, or how" of their sexual interaction). A person cannot consent if they are unable to understand what is happening or is disoriented, helpless, asleep, or unconscious, for any reason, including by alcohol or other drugs.

That the Respondent neither knew nor should have known the Complainant to be physically or mentally incapacitated may be raised as a defense. "Should have known" is an objective, reasonable person standard which assumes that a reasonable person is both sober and exercising sound judgment.

Incapacitation is determined through consideration of all relevant indicators of an individual's state and is not synonymous with intoxication, impairment, blackout, and/or being drunk.

Reporting

Mandated Reporters

All College employees (faculty, staff, student employees, and administrators) are expected to immediately report actual or suspected sexual harassment or misconduct defined by this policy, to the Title IX Coordinator. Employees must also promptly share all details of behaviors under this policy that they observe or have knowledge of, even if not directly reported to them. Failure of a Mandated Reporter to report an incident, defined by this policy, of which they become aware, is a violation of College policy and can be subject to disciplinary action for failure to comply.

Confidential

Clinical staff serving as the College's mental health counselor, medical professionals and staff at the College Health Services, and the College Chaplain are confidential reporters only when acting in the capacity of their role as a counselor or health care provider.

Reporting to Title IX Office

Reports of Prohibited Conduct (including Title IX Misconduct) may be made by contacting the Title IX Coordinator. Upon receiving a report, the Title IX Coordinator has full discretion to conduct inquiries into reports. The Title IX Coordinator may implement any necessary reasonable restrictions to address reported concerns.

Every effort will be made by the College to preserve the privacy of reports. Privacy means that information related to a report will only be shared with a limited number of College employees who need to know. The privacy of student education records will be protected in accordance with the Family Educational Rights and Privacy Act (FERPA), as outlined in the College's FERPA policy. The privacy of employee records will be protected in accordance with Human Resources practices and policies. The College reserves the right to designate which College officials have a legitimate educational interest in being informed about incidents that fall within this policy.

Unknown or Non-College Community Respondent

If the Respondent is unknown or is not a member of the College community, the Title IX Coordinator will assist the Complainant in identifying appropriate resources and support options. If criminal conduct is alleged, the College may assist in contacting law enforcement if the individual would like to file a police report.

If the Respondent is enrolled in or employed by another institution, the Title IX Coordinator may assist the Complainant in liaising with the appropriate individual at that institution, as it may be possible to allege violations through that institution's policy.

In addition, the College may take other actions as appropriate to protect the Complainant against third parties, such as barring individuals from College property and/or events.

Filing a Formal Complaint

When a Complainant has experienced Prohibited Conduct (including Title IX Misconduct), the Complainant may file a Formal Complaint with the Title IX Coordinator in person or by email. The Formal Complaint must be a written statement or electronic submission, signed by a Complainant or by

the Title IX Coordinator⁸, alleging a Respondent engaged in Prohibited Conduct (including Title IX Misconduct) and requesting initiation of a College Investigation.

The Title IX Coordinator has discretion to file a Formal Complaint of reported Prohibited Conduct, even if the Complainant chooses not to participate in the process and/or does not wish to file a Formal Complaint, when the Title IX Coordinator determines the that the report includes conduct that poses a threat to the health, safety and well-being of the community. The Title IX Coordinator may consider the following factors: the seriousness of the alleged conduct, the Complainant's age and/or whether there have been other complaints of Prohibited Conduct against the same Respondent.

After a Formal Complaint has been filed by a Complainant or signed by the Title IX Coordinator, the College will commence its formal grievance process.

Although there is no time limitation on filing a Formal Complaint to the Title IX Coordinator, if a significant amount of time has passed, the ability to investigate, respond, and provide remedies may be more limited or impossible. Therefore, if an investigation has been significantly impacted by the passage of time, the Title IX Coordinator may use discretion, to document allegations for future reference, offer supportive measures and/or remedies, and/or engage in informal or formal action, as appropriate.

All Formal Complaints shall be acted upon promptly by the College once received. Typically, Formal Complaint Investigations and subsequent adjudication can take 60-120 business days to resolve. Exceptions and extenuating circumstances can cause the process and resolution to take longer, but the College will avoid all undue delays within its control. If the general timeframes will be delayed, the College will provide written notice to the parties of the delay, the cause of a delay, and an estimate of the anticipated additional time that will be needed as a result of the delay.

OCR

Individuals also have the right to file an external grievance with:

Office for Civil Rights (OCR)
U.S. Department of Education
400 Maryland Avenue, SW
Washington, D.C. 20202-1100

Customer Service Hotline #: (800) 421-3481

Facsimile: (202) 453-6012 TDD#: (877) 521-2172 Email: OCR@ed.gov Web: http://www.ed.gov/ocr

Federal Timely Warning Obligations

Parties reporting sexual assault, domestic violence, dating violence, and/or stalking should be aware that under the Clery Act⁹, the College must issue timely warnings for incidents reported to them that pose a serious or continuing threat of bodily harm or danger to members of the campus community. The College will ensure that a Complainant's name and other identifying information is not disclosed, while still providing enough information for community members to make safety decisions in light of the potential danger.

⁸ A Formal Complaint should contain the Complainant's (or Title IX Coordinator's) physical or digital signature, or otherwise indicates that the Complainant is the person filing the Formal Complaint.

⁹ The Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act, enacted in 1990 codified at 20 U.S.C. § 1092(f) with implementing regulations at 34 C.F.R. § 668.46.

Federal Statistical Reporting Obligations

Certain campus officials have a duty to report the following for federal statistical reporting purposes of the Clery Act:

- a) All "primary crimes," which include homicide, sexual assault, robbery, aggravated assault, burglary, motor vehicle theft, and arson;
- b) Hate crimes, which include any bias-motivated primary crime as well as any bias motivated larceny or theft, simple assault, intimidation, or destruction/damage/vandalism of property;
- c) VAWA-based crimes, ¹⁰ which include sexual assault, domestic violence, dating violence, and stalking; and
- d) Arrests and referrals for disciplinary action for weapons-related law violations, liquor-related law violations, and drug abuse-related law violations.

All personally identifiable information is kept private, but statistical information must be shared regarding the type of incident and its general location for publication in the Annual Security Report and daily campus crime log.

 $^{^{10}}$ VAWA is the Violence Against Women Act, enacted in 1994 codified in part at 42 U.S.C. sections 13701 through 14040.

Supportive Measures

Supportive measures are non-disciplinary, non-punitive individualized services offered by the College as appropriate, as reasonably available, without fees, to restore or preserve access to the College's employment or education program or activity, including measures designed to protect the safety of all parties and/or the College's environment, and/or deter any Prohibited Conduct under this policy.

The College will offer and implement appropriate and reasonable supportive measures to the parties. The College will maintain the privacy of the supportive measures, provided that privacy does not impair the College's ability to provide the supportive measures.

These actions may include, but are not limited to:

- Referral to counseling, medical, and/or other healthcare services
- Referral to community-based service providers and/or resources
- Visa and immigration assistance
- Student financial aid assistance
- Altering campus housing assignment(s)
- Work accommodations, including altering work arrangements for employees
- Safety planning
- Providing campus safety escorts
- Providing specific transportation assistance
- Implementing No Contact Directives between the parties
- Academic support, extensions of deadlines, or other course/program-related adjustments that are considered reasonable accommodations
- Trespass from campus
- Class schedule modifications, withdrawals, or leaves of absence
- Increased security and monitoring of certain areas of the campus
- Any other actions deemed appropriate by the Title IX Coordinator

Assistance

The following resources are available to the parties:

- National Sexual Assault Hotline (800) 656-4673
- National Domestic Violence Hotline (800) 799-7233
- Communicare Marshall County (662) 252-4140
- Financial Planning Assistance, Director of Financial Aid (662) 252-8000
- Immigration and Visa Assistance, Director of International Programs (678) 547-6151
- FACE (http://www.facecampusequality.org)
- SAVE (<u>http://www.saveservices.org</u>)

Emergency Removal

The College may act to remove a Respondent entirely or partially from its education program, employment or activities on an emergency interim basis when an individualized safety and risk analysis has determined that an immediate threat to the physical health or safety of any other individual(s), or the College Community, justifies removal. This risk analysis examination is at the discretion of the Title IX Coordinator and is evaluated, in conjunction with other selective College departments to best examine the scope of the possible risk.

In all cases in which an emergency removal is imposed, the Respondent will be given notice of the action and the option to request to meet with the Title IX Coordinator, in conjunction with the Vice President of Enrollment Management or Vice President Business Affairs of prior to such action/removal being imposed, or as soon thereafter as reasonably possible, to show cause why the action/removal should not be implemented or should be modified. This meeting is not a hearing on the merits of the allegation(s), but rather is an administrative process intended to determine solely whether the emergency removal is appropriate. A Respondent may be accompanied by an Advisor at a meeting to show cause as to why the removal is not warranted.

The College has sole discretion under this policy to implement or stay an emergency removal and to determine the conditions and duration. Violation of an emergency removal under this policy will be grounds for discipline, which may include expulsion or termination. The College will implement the least restrictive emergency actions possible in light of the circumstances and safety concerns.

Administrative Leave

If the Respondent is an employee, the College may place the employee on administrative leave, with or without pay, to provide time to investigate and evaluate the circumstances regarding the complaint

Online Harassment and Misconduct

The policies of the College are written and interpreted broadly to include online and cyber manifestations of any of the prohibited conduct in this policy, when those behaviors or conduct occur in or have an effect on the College's education program and activities, work experience or use of the College's networks, technology, or equipment.

Members of the College community should refrain from online misconduct, such as feeding anonymous gossip sites, sharing inappropriate content via social media, unwelcome sex or sex-based messaging, distributing or threatening to distribute revenge porn, breaches of privacy, or otherwise using the ease of transmission and/or anonymity of the Internet or other technology to harm another member of the College community.

False Allegations and Evidence

Conclusively and deliberately false and/or malicious accusations under this policy, as opposed to allegations which, even if erroneous, are made in good faith, are a serious offense and will be subject to appropriate disciplinary action. This does not include allegations that are made in good faith but are ultimately shown to be erroneous or do not result in a policy violation determination.

Additionally, witnesses and parties knowingly providing false evidence, tampering with or destroying evidence after being directed to preserve such evidence, or deliberately misleading an official who is investigating, can be subject to discipline. This includes informing witnesses of allegations and attempting to coerce, instruct, conceal or intervene in with witnesses' statements and/or recollections, or persuading witnesses to recall or alter specific details or recollection.

Counterclaims

The College is obligated to ensure that the reporting and complaint process under this policy is not abused for retaliatory purposes. The College permits the filing of counterclaims but uses an initial assessment, described above, to assess whether the allegations in the counterclaim are made in good faith. Counterclaims made with retaliatory intent will not be permitted. When counterclaims are not made in good faith, they will be considered retaliatory and may constitute a violation of this policy.

PROCESS A

Procedure for Allegations of Prohibited Conduct

Initial Assessment (Prohibited Conduct)

The Title IX Coordinator shall make an initial assessment as to whether a report alleges an act of Prohibited Conduct and whether the conduct is covered by this Policy.

Intake Meeting with Complainant and Respondent (Prohibited Conduct)

The Title IX Coordinator will conduct an intake meeting to inform the Complainant and the Respondent of their rights and responsibilities, the prohibition against retaliation, further provide information about supportive measures, discuss the Prohibited Conduct allegations and information about the investigative and adjudication processes.

Notice of Formal Complaint (Prohibited Conduct)

Upon receipt of a Formal Complaint, the Title IX Coordinator will provide the following written notice to the parties:

- Notice of the College's Prohibited Conduct Procedure (Process A)
- Notice of the allegations potentially constituting Prohibited Conduct, including sufficient details known at the time, such as, the identities of the parties involved in the incident; the conduct allegedly constituting Prohibited Conduct; and the date and location of the alleged incident.
- A statement that the Respondent is presumed not responsible for the alleged conduct and that a determination regarding responsibility is made at the conclusion of the process.
- The written notice will inform the parties that they may have an advisor of their choice, who may be, but is not required to be, an attorney, and may inspect and review evidence as described herein.

Resolution Options (Prohibited Conduct)

Prohibited Conduct may be resolved by using an Informal or a Formal process, or the Respondent may accept responsibility. The Title IX Coordinator will discuss these options for resolution during initial meetings with the Complainant and Respondent, and later, if appropriate. Either party may request one of these forms of resolution, but for informal resolution, both parties must agree to submit to the informal resolution process.

a. Informal Resolution Process (Prohibited Conduct)

Parties have the option of informally resolving complaints of alleged Prohibited Conduct. For the Informal Resolution process to commence, however, both parties must agree to submit to the informal resolution process. The College has the discretion to determine whether an Informal Resolution process is appropriate.

Participation by both the Complainant and the Respondent is voluntary and either party can request to end Informal Resolution and pursue an Investigation. Information gathered and utilized in the Informal Resolution process may be used in any other College process, including an Investigation under the Formal Resolution Process, if Informal Resolution ends.

If the parties request and agree to an Informal Resolution, the Title IX Office will conduct a short investigation of the matter; including meeting with the parties and collecting information relevant to the matter, but may not necessarily speak to any witnesses identified by either party.

When the Parties mutually agree to resolve the matter, the College will determine if the Informal Resolution is appropriate. This review will be conducted by the Title IX Coordinator, in conjunction with either the Vice President of Enrollment Management or Human Resources Manager.

The College may consider the following factors to assess whether the Informal Resolution is appropriate:

- The parties' amenability to the resolution;
- Likelihood of potential resolution, considering any power dynamics between the parties;
- The parties' motivation to participate;
- Civility of the parties;
- Cleared violence risk assessment/ongoing risk analysis;
- Disciplinary history;
- Whether an emergency removal is needed;
- Complaint complexity;
- Emotional investment/intelligence of the parties;
- Rationality of the parties;
- Goals of the parties;
- Safety of the College Community.

The Title IX Coordinator will draft an Informal Resolution Agreement (for Prohibited Conduct) and the agreement shall be signed by all parties, including the College. Both parties retain the right to end the Informal Resolution Process at any time prior to signing the Informal Resolution and to initiate the Formal Resolution Process.

Either party's failure to abide by the terms of the Information Resolution may result in appropriate responsive/disciplinary actions, including sanctions. Informal Resolutions are not appealable.

b. Acceptance of Responsibility for Prohibited Conduct Process

The Respondent may accept responsibility for the alleged policy violations at any point during the process. If the Respondent indicates an intent to accept responsibility for all of the alleged misconduct, any formal process will be paused, and the Title IX Coordinator will determine whether all parties and the College are able to agree on responsibility, sanctions, and/or remedies. If so, the Title IX Coordinator may implement the accepted finding that the Respondent is in violation of College policy and implement sanctions and/or remedies, in coordination with other appropriate administrator(s), as necessary.

If the Respondent accepts Responsibility for Prohibited Conduct, the appropriate sanction or responsive actions shall be promptly implemented in order to effectively stop the sexual conduct, prevent its recurrence, and remedy the effects of the discriminatory conduct, both on the Complainant and the College community.

Once the Respondent has accepted responsibility, and the College has implemented sanctions and/or remedies, the matter will be considered final and not appealable by either party. The Respondent's failure to abide by the terms of the finalized sanctions and/or remedies, may result in appropriate responsive/disciplinary actions, including sanctions.

c. Formal Resolution Process (Prohibited Conduct)

If the Title IX Coordinator determines that the conduct alleged could constitute Prohibited Conduct and the Complainant requests an Investigation, the Title IX Coordinator will assign two (2) Investigators and the Investigators will commence a thorough, reliable, and impartial investigation. ¹¹

¹¹The Title IX Coordinator may consolidate multiple reports against a Respondent/group of Respondents into one investigation if the evidence related in each incident would be relevant and probative in reaching a determination on the other incident(s).

The Investigators gather, assess, and synthesize evidence, but make no conclusions, engage in no policy analysis, and render no recommendations. Investigations involve interviews with all relevant parties and witnesses; obtaining available, relevant evidence; and identifying sources of information, as necessary. All parties have a full and fair opportunity, through the investigation process, to suggest witnesses and questions, to provide evidence, and to fully review and respond to all evidence.

The Investigators, in their discretion, will provide a party or witness with notice of the date, time, and location of any interviews or meetings. The Investigators will interview all available, relevant witnesses and conduct follow-up interviews as necessary.

Upon completion of the investigation, the Investigators will write a comprehensive Draft Investigation Report fully summarizing the investigation, witness interviews, and addressing relevant evidence.

The Title IX Coordinator will send the parties the Draft Investigation Report. The parties may submit written statements responding to or clarifying information found in the report. Any relevant material submitted by the parties will be attached to the Final Investigation Report as appendices. The parties shall have five (5) business days to supplement the report.

Upon finalizing the Investigation, the Title IX Coordinator will review the Final Investigation Report with either the Vice President of Enrollment Management and Student Engagement or Vice President of Business Affairs. The Title IX Coordinator, in conjunction with either the Vice President for Student Engagement and Enrollment Management or Vice President for Business Affairs, shall determine, by the preponderance of the evidence, whether it is more likely than not that the Respondent violated the Policy as alleged. The Title IX Coordinator will provide a written Notice of Outcome to both Complainant and Respondent within seven (7) business days after receiving the Final Investigation Report. If there is a determination of a violation, the Notice of Outcome will impose sanctions 12, and provide information regarding parties' right to appeal.

Appeals for Formal Resolution Process (Prohibited Conduct)

Both parties shall have the right to appeal the outcome on any of the following grounds:

- 1) To consider new evidence that was not reasonably available at the time the determination was made, that could affect the outcome of the matter;
- 2) To allege a significant procedural error within the process that may have substantially impacted the fairness of the investigation, the decision, and/or the sanctions;
- 3) To allege that the sanctions imposed are overly excessive or insufficient based upon the investigation.

Appeals must be submitted in writing to the Title IX Coordinator within seven (7) business days from the day the parties receive the Notice of Outcome. Upon receipt of an appeal, the Title IX Coordinator will send a copy of the appeal to the other party, who will have seven (7) business days to file a response, if the party chooses to do so.

At the conclusion of the 7-day period, the Title IX Coordinator will submit the appeal(s) to the Appeal Decision Maker, who will review the materials within ten (10) business days of receipt of the appeal, examine the Final Investigation Report and appeal(s) to determine if there is a reasonable basis for changing the outcome or sanction(s).

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¹²Sanctions can be found on page 29.

The Appeal Decision Maker will issue a written determination of the appeal in the following manner:

- Affirm the original finding and sanction;
- Affirm the original finding but issue a new sanction, which may be of greater or lesser severity;
- Remand the case back to the Title IX Office to correct a procedural or factual defect; or,
- Dismiss the case if there was a procedural or factual defect that cannot be remedied by remand.

The appeal determinations are final and not appealable. Both parties shall receive simultaneous written notice of the outcome of the appeal.

PROCESS B

Procedure for Allegations of Title IX Misconduct

Initial Assessment (Title IX Misconduct)

The Title IX Coordinator shall make an initial assessment as to whether a report alleges an act of Title IX Misconduct and whether the conduct is covered by this Policy. If the Title IX Coordinator determines in their assessment that the allegations would not constitute Title IX Misconduct, the College will dismiss the matter as described below.

Notice of Formal Complaint (Title IX Misconduct)¹³

Upon receipt of a Formal Complaint, the Title IX Coordinator will provide the following written notice to the parties:

- Notice of the College's Title IX Grievance Process (Process B)
- Notice of the allegations potentially constituting Title IX Misconduct, including sufficient details known at the time, such as, the identities of the parties involved in the incident; the conduct allegedly constituting Title IX Misconduct; and the date and location of the alleged incident.
- A statement that the Respondent is presumed not responsible for the alleged conduct and that a determination regarding responsibility is made at the conclusion of the grievance process.
- The written notice will inform the parties that they may have an Advisor of their choice, who may be, but is not required to be, an attorney, and may inspect and review evidence as described herein.
- Advise the Respondent that all parties are prohibited from knowingly making false statements or knowingly submitting false information during the grievance process.
- An instruction to preserve any evidence that is directly related to the allegations.

Dismissal¹⁴ (Title IX Misconduct)

Mandatory Dismissal will occur if, in their discretion, the Title IX Coordinator determines that:

- 1) The conduct alleged in the formal complaint would not constitute Title IX Misconduct as defined above, even if proved; and/or
- 2) The conduct did not occur in an educational program or activity controlled by the College (including buildings or property controlled by recognized student organizations), and/or the College does not have control of the Respondent; and/or
- 3) The conduct did not occur against a person in the United States; and/or
- 4) At the time of filing a formal complaint, a Complainant is not participating in or attempting to participate in the education program or activity of the recipient ¹⁵

Discretionary Dismissal¹⁶ may occur if, at any time during the process:

¹⁵Such a Complainant is still entitled to supportive measures, but the formal grievance process is not applicable unless the Title IX Coordinator signs the complaint in the event the Complainant cannot/will not do so.

¹³Note: In the event a Complainant requests an Investigation and the Formal Grievance Process, and the Formal Complaint meets all requirements, this Notice may be replaced by a Notice of Investigation and Allegations (NOIA), defined on page 23.

14 These dismissal requirements are mandated by the 2020 Title IX Regulations, 34 CFR §106.45.

The College retains discretion on a case-by-case basis to dismiss a Formal Complaint based on any of the above reasons. Just because one or all of the conditions above are satisfied, does not mean the College will automatically dismiss the Formal Complaint. Instead, the College will determine if such a decision is appropriate under the circumstances.

- 1) A Complainant notifies the Title IX Coordinator in writing that the Complainant would like to withdraw the formal complaint or any allegations therein: 17 or
- 2) The Respondent is no longer enrolled in or employed by the recipient; or
- 3) Specific circumstances prevent the College from gathering evidence sufficient to reach a determination as to the formal complaint or allegations therein.

Dismissal of a Formal Complaint does not preclude action under another applicable policy. In the event of dismissal, the Title IX Coordinator may consider if the allegations fall under Prohibited Conduct, and, if so, refer the matter to Process A. The Title IX Coordinator may also refer the matter to any other appropriate department within the College.

Upon any dismissal, the Title IX Coordinator will promptly send written notice of the dismissal and the rationale for doing so simultaneously to the parties. This dismissal decision is appealable by any party under the procedures for appeal below.

Appeals for Dismissals (Title IX Misconduct)

Appeals to dismissals must be submitted in writing to the Title IX Coordinator within seven (7) business days from the day the parties are notified of the dismissal. The Title IX Coordinator will review the materials within five (5) business days of receipt of the challenge and may affirm or deny the original decision. The Title IX Coordinator's determinations on dismissals are final and not appealable. Both parties shall receive simultaneous written notice of the outcome of the appeal.

Intake Meeting with Complainant and Respondent (Title IX Misconduct)

The Title IX Coordinator will conduct an intake meeting to inform the Complainant and the Respondent of their rights and responsibilities, the prohibition against retaliation, further provide information about supportive measures, discuss the Title IX Misconduct allegations and information about the investigative and adjudication processes.

Resolution Options (Title IX)

Title IX Misconduct may be resolved by using an informal or a formal process. The Title IX Coordinator will discuss these two options for resolution during initial meetings with the Complainant and Respondent, and later, if appropriate. Either party may request one of these forms of resolution, but for informal resolution, the College must approve that such a process is appropriate under the circumstances and both parties must provide voluntary, informed, written consent to the informal resolution process.

a. Informal Resolution Process¹⁸

Parties have the option of informally resolving complaints of alleged Title IX Misconduct. For the informal resolution process to commence, however, both parties must agree to submit to the informal resolution process. The College has the discretion to determine whether an informal resolution process is appropriate.

Participation by both the Complainant and the Respondent is voluntary and either party can request to end Informal Resolution and pursue an Investigation. Information gathered and utilized in the Informal Resolution process may be used in any other College process, including an Investigation, under the Formal Grievance Process.

If the parties request and agree to an Informal Resolution, the Title IX Office will conduct a short investigation of the matter; including meeting with the parties and collecting information relevant to the matter, but may not necessarily speak to any witnesses identified by either party. Both

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¹⁷A Complainant who decides to withdraw a complaint may later request to reinstate it or refile it.

¹⁸ Informal Resolution is not available to resolve Title IX Misconduct allegations by a Student against an Employee.

parties may submit a written statement that includes basic facts about allegations and the parties' intended outcomes.

When the Parties mutually agree to resolve the matter, the College will determine if the Informal Resolution is appropriate. This review will be conducted by the Title IX Coordinator, in conjunction with either the Vice President of Enrollment Management and Student Engagement or the Human Resources Manager.

The College may consider the following factors to assess whether the Informal Resolution is appropriate:

- The parties' amenability to the resolution;
- Likelihood of potential resolution, considering any power dynamics between the parties;
- The parties' motivation to participate;
- Civility of the parties;
- Cleared violence risk assessment/ongoing risk analysis;
- Disciplinary history;
- Whether an emergency removal is needed;
- Complaint complexity;
- Emotional investment/intelligence of the parties;
- Rationality of the parties;
- Goals of the parties;
- Safety of the College Community.

The Title IX Coordinator will draft an Informal Resolution Agreement (for Prohibited Conduct) and the agreement shall be signed by all parties, including the College. Both parties retain the right to end the Informal Resolution Process at any time prior to signing the Informal Resolution and to initiate the Formal Resolution Process.

Either party's failure to abide by the terms of the Information Resolution may result in appropriate responsive/disciplinary actions, including sanctions. Informal Resolutions are not appealable.

b. Acceptance of Responsibility for Title IX Misconduct Process

The Respondent may accept responsibility for the alleged policy violations at any point during the process. If the Respondent indicates an intent to accept responsibility for all of the alleged misconduct, any formal process will be paused, and the Title IX Coordinator will determine whether all parties and College are able to agree on responsibility, sanctions, and/or remedies. If so, the Title IX Coordinator may implement the accepted finding that the Respondent is in violation of College policy and implement sanctions and/or remedies, in coordination with other appropriate administrator(s), as necessary.

If the Respondent accepts Responsibility for Title IX Misconduct, the appropriate sanction or responsive actions shall be promptly implemented in order to effectively stop the conduct, prevent its recurrence, and remedy the effects of the discriminatory conduct, both on the Complainant and the College community.

Once the Respondent has accepted responsibility, and the College has implemented sanctions and/or remedies, the matter will be considered final and not appealable by either party. The Respondent's failure to abide by the terms of the finalized sanctions and/or remedies, may result in appropriate responsive/disciplinary actions, including sanctions.

c. Formal Grievance Process

Formal Grievance Procedures are outlined in the next section.

Formal Grievance Process for Title IX Misconduct

The Formal Grievance Process typically commences when a Complainant or Title IX Coordinator files a Formal Complaint and an Investigation proceeds.

Notice of Investigation and Allegations

The Title IX Coordinator will provide written Notice of the Investigation and Allegations (the "NOIA") to the Respondent upon commencement of the Formal Grievance Process.

The NOIA will include:

- A meaningful summary of all allegations as provided by the Complainant,
- The identity of the involved parties,
- The precise misconduct being alleged,
- The date and location of the alleged incident(s),
- The specific policies implicated,
- College policy on applicable procedures, including potential sanctions/responsive actions that
 could result, retaliation, confidentiality/privacy of the process, right to an Advisor and Advisor
 role, false statements and information, details on how to address any conflicts of interest, and
 disability accommodations,
- A statement that the College presumes the Respondent is not responsible for the reported misconduct unless and until the evidence supports a different determination,
- A statement that determinations of responsibility are made at the conclusion of the process and that the parties will be given an opportunity to inspect and review all directly related and/or relevant evidence obtained following issuance of a Draft Investigation Report,
- The name(s) of the Investigator(s), and
- An instruction to preserve any evidence that is directly related to the allegations.

The NOIA will be made in writing and may be delivered by one or more of the following methods: in person, mailed to the local or permanent address(es) of the parties as indicated in official College records, or emailed to the parties' College-issued email. Once mailed, emailed, and/or received inperson, notice will be presumptively delivered. The NOIA may be amended during the investigation process with any additional or dismissed allegations.

Advisor/Advisor Role

The Complainant and the Respondent may be accompanied to any meeting or hearing by an Advisor of their choice through the course of the Title IX Misconduct process. The Advisor may be any person, including an attorney.

All Advisors are subject to College policies and procedures. Advisors are expected to advise their advisees without disrupting proceedings. Advisors should not address College officials in meetings or interviews. The Advisor may not make a presentation or represent their advisee during any meeting or proceeding and may not speak on behalf of the advisee, except during a hearing proceeding, specifically during cross-examination. The College is not obligated to communicate with or through Advisors, and will only communicate directly with the parties throughout the process. The parties are expected to ask and respond to all questions on their own behalf throughout the process and any written submissions must be written by and received directly from the Complainant or Respondent. The Advisor may consult with their advisee during any process meeting or interview, including consulting on written submissions.

Each party has a responsibility to notify their Advisor of the time, date and location of any meeting or disciplinary proceeding. Proceedings will not be unduly delayed to accommodate an Advisor and it is the responsibility of each party to select an Advisor that is available for meetings and disciplinary proceedings.

Parties also have the right to choose not to have an Advisor in the initial stages of the process; however, the Department of Education Title IX Regulations permit cross-examination during the hearing, and must be conducted by the parties' Advisors. The parties are not permitted to directly cross-examine each other or any witnesses. If a party does not have an Advisor for a hearing, the College will appoint a trained Advisor only for the limited purpose of conducting any cross-examination. The College cannot guarantee equal Advisory rights, meaning that if one party selects an Advisor who is an attorney, but the other party does not or cannot afford an attorney, the College is not obligated to provide an attorney. If the party does not wish to conduct cross examination, the party may waive their right to cross-examination; in which the College would not be required to appoint an Advisor.

Parties may share information directly with their Advisors, but Advisors are expected to maintain the privacy of the records. These records may not be shared with third parties, disclosed publicly, or used for purposes not explicitly authorized by the College.

Any Advisor who oversteps their role as defined by this policy will be warned only once. If the Advisor continues to disrupt or otherwise fails to respect the duties and limits of the Advisor role, the meeting or proceeding will stop and appropriate measures will be implemented. Subsequently, the Title IX Coordinator will determine how to address the Advisor's non-compliance and future role.

The College may seek to restrict the role of any Advisor who does not respect the sensitive nature of the process or who fails to abide by the College's privacy expectations.

The parties are expected to inform the Title IX Coordinator of the identity of their Advisor at least two (2) business days before the date of their first meeting with Investigators (or as soon as possible). The parties are expected to provide immediate notice to the College if they change Advisors at any time.

Investigation Process (Title IX)

The Title IX Coordinator will assign two (2) Investigators and the Investigators will commence a thorough, reliable, and impartial investigation. ¹⁹

The Investigators gather, assess, and synthesize evidence, but make no conclusions, engage in no policy analysis, and render no recommendations. Investigations involve interviews with all relevant parties and witnesses; obtaining available, relevant evidence; and identifying sources of information, as necessary. All parties have a full and fair opportunity, through the investigation process, to suggest witnesses and questions, to provide evidence, and to fully review and respond to all evidence.

The Investigators, in their discretion, will provide a party or witness with notice of the date, time, and location of any interviews or meetings. The Investigators will interview all available, relevant witnesses and conduct follow-up interviews as necessary, including, allowing each party the opportunity to suggest relevant witnesses and questions they wish the Investigators to ask of the other party and witnesses.

Upon completion of the investigation, the Investigators will write a comprehensive Draft Investigation Report fully summarizing the investigation, witness interviews, and addressing relevant evidence, which they will provide to the Title IX Coordinator. After reviewing the Draft Investigation Report, the Title IX Coordinator may direct Investigators to ask clarifying questions or conduct additional investigation.

The Title IX Coordinator will then send to the parties the Draft Investigation Report. The Parties will have a ten (10) business days review and comment period so that each party may meaningfully respond,

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¹⁹The Title IX Coordinator may consolidate multiple reports against a single Respondent or group of Respondents into one investigation if the evidence related to each incident would be relevant and probative in reaching a determination on the other incident(s).

in writing, to the report. The parties may elect to waive the full ten days, in writing, submitted to the Title IX Coordinator. The parties may also indicate, in writing, that they do not wish to provide further or additional information.

At the completion of the review and comment period, the Investigators will incorporate the parties' written responses into the report, including any additional relevant evidence, make any necessary revisions, and finalize the report. ²⁰ The Final Investigation Report will then be shared with the parties through secure electronic transmission or hard copy at least ten (10) business days prior to a hearing.

Witnesses in the Title IX Investigation

Witnesses who are employees of the College are expected to cooperate with and participate in the College's investigation and process. Failure of such witnesses to cooperate with and/or participate in the process constitutes a violation of policy and may warrant discipline.

If the Investigator(s) determine that timeliness or efficiency dictate a need for remote interviewing, they may conduct interviews remotely. If deemed appropriate by the Investigator(s), Witnesses may provide written statements in lieu of interviews or choose to respond to written questions, provided this does not delay or impede the Investigation.

Formal Grievance Hearings for Title IX Misconduct

Once the Final Investigation Report is shared with the parties, the Title IX Coordinator will refer the matter to the Hearing Official. The hearing cannot be less than ten (10) business days from the conclusion of the investigation.

Upon referral, the Hearing Official will select appropriate a hearing board depending on whether the Respondent is an employee or a student. The College will designate a three-member panel, at the discretion of the Hearing Official. One of the three members will be appointed as Chair.

The Hearing Official will give the panel members a list of the names of all parties, witnesses, and Advisors confirming that the panel members have not had any previous involvement with the investigation. Any panel member who cannot make an objective determination must recuse themselves from the proceedings. As needed, the Hearing Official may update the list of involved individuals.

The Hearing Official, after any necessary consultation, will prepare a list of individuals who will be participating in the hearing and provide this list to the parties as part of the Notice of Hearing (see below).²¹

If any changes or additions are made to pertinent documentary evidence and/or the Final Investigation Report, it will be provided to the parties at least ten (10) business days prior to the hearing.

Notice of Hearing

No less than ten (10) business days prior to the hearing, the Hearing Official will send a Notice of Hearing to the parties. Once mailed, emailed, and/or received in-person, notice will be presumptively delivered.

 $^{^{20}}$ The Investigators may also share their report with the Title IX Coordinator for review and revision.

²¹Participants at the hearing may include the Hearing Panel, the Hearing Official(s), the Coordinator of Student Conduct, the Investigators, Advisors to the parties, witnesses, the Title IX Coordinator, and anyone providing authorized accommodations or assistive services, or deemed to be necessary at the hearing.

The Notice of Hearing will contain:

- A description of the alleged incident(s), a list of all policies allegedly violated, a description of the applicable procedures, including the potential sanctions/responsive actions that could result.
- The time, date, and location of the hearing and a reminder that attendance supersedes all other campus activities.
- Any technology that will be used to facilitate the hearing.
- Information about the option for the live hearing to occur with the parties located in separate rooms using technology. Such a request must be raised at least five (5) business days prior to the hearing.
- A list of all those who will attend the hearing, along with an invitation to object to any panel members on the basis of demonstrated bias. Any objection must be raised at least five (5) business days prior to the hearing. Panel members will only be removed if the Hearing Official concludes that their bias or conflict of interest precludes an impartial hearing of the allegation(s).
- Information on how the hearing will be recorded and how parties may access the recording after the hearing.
- Notification that the parties may have the assistance of an Advisor of their choosing at the hearing and will be required to have one present for any cross-examination questions they may desire to ask.
- An invitation to each party to submit an impact statement prior to the hearing.
- An invitation to contact the Hearing Official to arrange any disability accommodations, language assistance, and/or interpretation services that may be needed at the hearing, at least five (5) business days prior to the hearing.

Alternative Hearing Participation Options

If there are special circumstances in which a party or parties cannot attend the hearing in person, the party should request alternative arrangements from the Hearing Official at least five (5) business days prior to the hearing. The Hearing Official will review the special circumstances surrounding the request and has ultimate discretion over how the hearing will be conducted.

The College may arrange to use technology to allow remote testimony without compromising the fairness of the hearing. Remote options may also be allowed for witnesses who cannot appear in person, at the discretion of the Hearing Official. Any witness who cannot attend in person should notify the College at least five (5) business days prior to the hearing so that appropriate arrangements can be made.

Hearing Procedures

The hearing, recording, witness and party logistics, curation of documents, separation of the parties, decorum, and other required elements of the hearing process will be managed by the Hearing Official and any designee(s).²²

The Hearing Official shall:

- Call the hearing to order and state the date and time.
- Ask the parties attending the hearing to identify themselves for the record.
- State the conditions of the hearing including:
 - a) There shall be a single verbatim record, such as a tape recording, of the hearing (not

²²This may include, but is not limited to, overseeing the logistics of rooms for various parties/witnesses as they wait; flow of parties/witnesses in and out of the hearing space; ensuring recording and/or virtual conferencing technology is working as intended; copying and distributing materials to participants, ensuring necessary breaks, addressing hearing concerns and questions, etc.

- including deliberations). The recording shall be the property of the College.
- b) Documentation of the proceedings, including the written decision, transcripts, and any audio recordings, are maintained in accordance with the applicable College document retention records.
- c) Reasonable care will be taken to create a quality audio recording and minimize technical problems; however, technical problems that result in recording (including inaudible portions) cannot, by itself, serve as a basis to overturn an outcome upon appeal by a party.
- d) Rules of evidence applicable to courts of law will not apply.
- e) The hearing shall be non-adversarial in nature and the Hearing Official shall be empowered to take all steps as necessary to preserve the non-adversarial nature of the proceeding.
- f) The level of proof required to determine whether or not a Respondent is responsible for the allegations shall be by a preponderance of the evidence, i.e., it is more likely than not that Title IX Misconduct occurred.
- g) The hearing and its final outcome shall be considered part of the Respondent's educational record or employment record as applicable, and will kept confidential, except as provided under federal and state law.
- h) Ask the parties if there any objections to proceeding with the hearing. The Hearing Official shall be solely responsible for deciding if such objections are reasonable and/or what measures should be taken to address them.

Joint Hearings

In hearings involving more than one Respondent or in which two (2) or more Complainants have accused the same individual of substantially similar conduct, the default procedure will be to hear the allegations jointly. However, the College may permit the investigation and/or hearings pertinent to each Respondent to be conducted separately if there is a compelling reason to do so. In joint hearings, separate determinations of responsibility will be made for each Respondent with respect to each alleged policy violation.

Testimony and Questioning

Any witness scheduled to participate in the hearing must have been first interviewed by the Investigators, or have proffered a written statement or answered written questions. The admissibility of any evidence known which were not submitted during the investigation, shall be determined by the Chair, taking into consideration the obligation to provide both parties an equal opportunity to present and respond to witnesses and other evidence.

Any party or witness may choose not to offer evidence and/or answer questions at the hearing, either because they do not attend the hearing, or because they attend but refuse to participate in some or all questioning. The Hearing Panel can only rely on whatever relevant evidence is available through the investigation and hearing in making the ultimate determination of responsibility. The Hearing Panel may not draw any inference <u>solely</u> from a party's or witness's absence from the hearing or refusal to submit to cross-examination or answer other questions.

Advisors, who will remain seated during questioning, shall provide proposed cross-examination questions (either orally or in writing), and the proceeding will pause to allow the Chair to consider the relevancy of the question(s) and if the question(s) is permitted, disallowed, or rephrased. The Chair will then state their decision on the question for the record and advise the party/witness to whom the question was directed, accordingly. The Chair will explain any decision to exclude a question as not relevant, or to reframe it for relevance. The Chair will limit or disallow questions on the basis that they are irrelevant, unduly repetitious, or abusive. The Chair has final say on all questions and determinations of relevance. The Chair may ask Advisors to frame why a question is or is not relevant from their perspective but will not entertain argument from the Advisors once the Chair has ruled on a question. The Chair may consult with

other members of the hearing panel at any time.

Questions and/or evidence about the Complainant's sexual predisposition or prior sexual behavior will be deemed irrelevant and not considered, unless such questions and evidence are offered to prove that someone other than the Respondent committed the conduct or are being offered to prove consent.

Deliberation and Standard of Proof

The Hearing Panel will deliberate in closed session to determine whether the Respondent is responsible or not responsible for the policy violation(s) in question. A simple majority vote is required to determine the finding. The determination shall be based on the preponderance of the evidence; whether it is more likely than not that the Respondent violated the Policy as alleged.

When there is a finding of responsibility on one or more of the allegations, the Hearing Panel may then consider any previously submitted party impact statements in determining appropriate sanction(s). While the Hearing Panel, in their discretion, may consider the impact statements, the statements are not binding.

When there is a finding of responsibility on one or more of the allegations, the Hearing Panel may then consider any conduct history.

The Hearing Panel shall issue a written determination regarding responsibility within five (5) business days of the conclusion of the hearing, applying the preponderance of the evidence standard of evidence. The written determination shall detail the determination, rationale, the evidence used in support of its determination, the evidence not relied upon in its determination, credibility assessments, and any sanctions. The Chair will deliver the written deliberation statement to the Hearing Official.

Sanctions

If a finding of responsibility is reached, additional factors considered when determining a sanction/responsive action may include, but are not limited to:

- The nature, severity of, and circumstances surrounding the violation(s),
- History of the Respondent's prior conduct,
- The College's prior response to similar conduct,
- The College's need to bring an end to the sexual harassment, prevent the future recurrence of sexual harassment, and remedy the effects of the sexual harassment on the Complainant and the community,
- The impact on the parties,
- Any other information deemed relevant by the Hearing Panel

Student Sanctions

The broad range of sanctions for students includes, but is not limited to, the following

- *Warning:* A formal statement that the conduct was unacceptable and a warning that further violation of any College policy, procedure, or directive will result in more severe sanctions/responsive actions.
- Required Training or Participation: A mandate to meet with and engage in either Collegesponsored or external counseling to better comprehend the misconduct and its effects or to required participation in sensitivity training, sexual misconduct training, volunteering, community service, etc.
- *Probation:* A written reprimand for violation of institutional policy. Terms of the probation will be articulated and may include denial of specified social privileges, exclusion from co-curricular activities, exclusion from designated areas of campus, no-contact orders, and/or other measures

- deemed appropriate.
- Suspension: Termination of student status for a definite period of time and/or until specific criteria are met, or both.
- Expulsion: Permanent termination of student status and revocation of rights to be on campus for any reason or to attend College-sponsored events.
- Withholding Diploma: The College may withhold a student's diploma for a specified period of time and/or deny a student participation in commencement activities.
- Revocation of Degree: The College reserves the right to revoke a degree previously awarded from the College for violations of College policies, procedures, or directives in obtaining the degree, or for other serious violations committed by a student prior to graduation.
- Revocation of alumni privileges
- Organizational Sanctions: Deactivation, loss of recognition, loss of some or all privileges for a specified period of time.
- Other Actions: Including, but not limited to:
 - o Removal from student housing;
 - Restriction from College premises;
 - O Temporary or permanent separation of the parties (by way of example only: change in classes, reassignment of residence, no contact orders, limiting geography where parties may go on campus) with additional sanctions for violating orders;
 - Counseling or mentoring;
 - \circ Removal from leadership/supervisory positions within the College community; \circ Revocation of honors or awards;
 - o Loss of College privileges (i.e., using College athletic facilities, parking on campus, using the campus library, utilizing the dining hall);
 - o Community service;
 - Any other discretionary sanctions that are directly related to the violation or conduct and that are aimed at eliminating Title IX Misconduct, preventing its recurrence, and addressing its effects on the Complainant and, if applicable, the College community.

Employee Sanctions

The broad range of sanctions for employees includes, but is not limited to, the following:

- Warning or Reprimand: Verbal or Written
- Performance Improvement/Management Process
- Required Counseling
- Required Training or Education
- Probation
- Workplace Restrictions
- *Denial of a merit pay increase or other benefit;*
- Denial of promotion
- Reassignment
- Suspension
- Termination
- Other Actions: Including, but not limited to:
 - o Restriction from College premises;
 - Temporary or permanent separation of the parties (limiting geography where parties may go on campus) with additional sanctions for violating orders;
 - o Counseling or mentoring:
 - Removal from leadership/supervisory positions within the College community; Revocation of honors or awards;
 - o Loss of College privileges (i.e., using College athletic facilities, parking on campus, using the campus library, utilizing the dining hall);
 - Community service;

 Any other discretionary sanctions that are directly related to the violation or conduct and that are aimed at eliminating Title IX Misconduct, preventing its recurrence, and addressing its effects on the Complainant and, if applicable, the College community.

Notice of Outcome

Using the deliberation statement, the Hearing Official will prepare a Notice of Outcome.²³ The Notice of Outcome shall include:

- Identification of the allegations potentially constituting Title IX Misconduct;
- A description of the procedural steps taken from the receipt of the Formal Complaint through the determination;
- Findings of fact supporting determination;
- Conclusions regarding application of the College's policy to the facts;
- The rationale for the result as to each allegation;
- Any disciplinary sanctions imposed on the Respondent;
- Whether remedies will be provided to the Complainant in order to ensure access to the College's educational or employment program or activity;
- Information about how to file an appeal.

The Hearing Official will provide the Notice of Outcome to the parties within seven (7) business days of receiving the hearing panel's deliberation statement. Notification will be made in writing and may be delivered in person, mailed to the local or permanent address of the parties as indicated in official College records, or emailed to the parties' College-issued email. Once mailed, emailed, and/or received in-person, notice will be presumptively delivered.

The sanctions will be implemented as soon as is feasible, either upon the outcome of any appeal or the expiration of the window to appeal without an appeal being requested.

Recording

No unauthorized audio or video recording of any kind is permitted during investigation meetings, interviews or hearings.

Hearings (but not deliberations) are recorded by the College for purposes of review in the event of an appeal. The parties may not record the proceedings and no other unauthorized recordings are permitted. The Hearing Panel, the Parties, their Advisors, and appropriate administrators of the College will be permitted to listen to the recording in a controlled environment determined by the Hearing Official. No person will be given or be allowed to make a copy of the recording without permission.

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 $^{^{23}}$ In preparing the Notice of Outcome, the Hearing Official may work with the Title IX Coordinator, Legal Counsel, the Hearing Panel, and other administration officials, as needed.

Appeals (Title IX Misconduct)

Both the Complainant and the Respondent have the right to request an appeal ("Request for Appeal"), and all requests must be submitted in writing to the Appeal Decision Maker within seven (7) days of the delivery of the Notice of Outcome.

Grounds for Appeal

Appeals are limited to the following grounds:

- (A) Procedural irregularity that affected the outcome of the matter;
- (B) New evidence that was not reasonably available at the time the determination regarding responsibility or dismissal was made, that could affect the outcome of the matter; and
- (C) The Title IX Coordinator, Investigator(s), or Hearing Panel Members had a conflict of interest or bias for or against Complainants or Respondents generally or the specific Complainant or Respondent that affected the outcome of the matter.

Appeal Guidelines

- Decisions on appeal are to be deferential to the original decision, making changes to the finding only when there is clear error and to the sanction(s)/responsive action(s) only if there is a compelling justification to do so.
- Appeals are not intended to provide for a full rehearing (de novo) of the allegation(s). In most cases, appeals are confined to a review of the written documentation or record of the original hearing and pertinent documentation regarding the specific grounds for appeal.
- An appeal is not an opportunity for the Appeal Decision Makers to substitute their judgment for that of the original Hearing Panel merely because they disagree with the finding and/or sanction(s).
- Appeals granted based on new evidence should normally be remanded to the original Investigator(s) and/or Hearing Panel for reconsideration.
- Once an appeal is decided, the outcome is final: further appeals are not permitted, even if a decision or sanction is changed on remand (except in the case of a new hearing).
- In rare cases where a procedural or substantive error cannot be cured by the original Hearing Panel (as in cases of bias), the appeal may order a new hearing with a new Hearing Panel.
- In cases in which the appeal results in reinstatement to the College or resumption of privileges, all reasonable attempts will be made to restore the Respondent to their prior status, recognizing that some opportunities lost may be irreparable in the short term.

Initial Review of the Appeal

The Appeal Decision Maker conducts an initial review of the appeal request. This initial review is not a review of the merits of the appeal, but solely a determination as to whether the request meets the grounds and is timely filed.

If the Request for Appeal does not meet the grounds in this Policy, that request will be denied and the parties will be notified in writing of the denial and the rationale.

If any of the grounds in the Request for Appeal meet the grounds in this Policy, then the Appeal Decision Maker will notify the other party, the Title IX Coordinator, the Hearing Official, and any additional individuals named in the appeal. The non-appealing party (if any) will be provided one opportunity to submit a written statement challenging the appeal within five (5) days of this notification. Neither party may submit any new requests for appeal after this time period.

Appeal Review

The Appeal Decision Maker conducts the appeal review. This appeal review will primarily entail a review of the file and prior proceedings, but can entail contacting either party, witnesses, hearing panel members, investigators, or any other individual for additional information in which to evaluate the merits of the appeal.

Notice of Appeal Outcome

A Notice of Appeal Outcome will be sent to all parties simultaneously including the decision on each approved or denied ground and rationale for each decision. The Notice of Appeal Outcome will provide any specific instructions for remand or reconsideration, any sanctions that may result which the College is permitted to share according to state or federal law, and the rationale supporting the essential findings to the extent the College is permitted to share under state or federal law.

Notification will be made in writing and may be delivered in person, mailed to the local or permanent address of the parties as indicated in official institutional records, or emailed to the parties' College-issued email. Once mailed, emailed and/or received in-person, notice will be presumptively delivered.

All decisions will apply the preponderance of the evidence standard. Any sanctions imposed as a result of the hearing are stayed during the appeal process. The Appeal result is final and not appealable.

Disability Accommodations

The College is committed to providing reasonable accommodations and support to qualified students, employees, or others with disabilities to ensure equal access to the College's process. Students needing such accommodations should contact Austin Rayford who oversees Access and Accomodations Employees should contact Human Resources.

Retention of Records

The College will create and maintain for a period of seven (7) years records of any actions, including any supportive measures, taken in response to a report or formal complaint of Prohibited Conduct.

Revision of this Policy and Procedures

This Policy supersedes any previous policy addressing Prohibited Conduct (including Title IX Misconduct) as defined in this document. This Policy, including Procedures, will be reviewed and updated as needed. The College reserves the right to make changes to this document as necessary, and once those changes are posted online, they are in effect.

During the process, the Title IX Coordinator may make minor modifications to procedures that do not materially jeopardize the fairness owed to any party. The Title IX Coordinator may also vary procedures materially with notice (on the institutional website, with the appropriate effective date identified) upon determining that changes to law or regulations require policy or procedural alterations.

If government laws, regulations change, or court decisions alter the requirements in a way that impacts this document, this document will be construed to comply with the most recent government regulations or holdings.

This Policy and procedures are effective upon approval by the appropriate personnel? (ENTER DATE HERE)

Rust College's Sexual Misconduct Policy contains some information from:
ATIXA 2020 ONE POLICY, TWO PROCEDURES MODEL
USE AND ADAPTATION OF THIS MODEL WITH CITATION TO ATIXA IS PERMITTED

Appendix A – Federal Regulations Sexual Act Definitions

A 'sexual act" is specifically defined by federal regulations to include one or more of the following:

Forcible Rape:

- o Penetration.
- o no matter how slight,
- o of the vagina or anus with any body part or object, or
- o oral penetration by a sex organ of another person,
- without the consent of the Complainant.

Forcible Sodomy:

- Oral or anal sexual intercourse with another person,
- o forcibly.
- o and/or against that person's will (non-consensually), or
- o not forcibly or against the person's will in instances in which the Complainant is incapable of giving consent because of age or because of temporary or permanent mental or physical incapacity.

Sexual Assault with an Object:

- The use of an object or instrument to penetrate,
- o however slightly,
- o the genital or anal opening of the body of another person,
- o forcibly,
- o and/or against that person's will (non-consensually),
- or not forcibly or against the person's will in instances in which the Complainant is incapable of giving consent because of age or because of temporary or permanent mental or physical incapacity.

Forcible Fondling:

- The touching of the private body parts of another person (buttocks, groin, breasts).
- o for the purpose of sexual gratification,
- o forcibly
- o and/or against that person's will (non-consensually),
- or not forcibly or against the person's will in instances in which the Complainant is incapable of giving consent because of age or because of temporary or permanent mental or physical incapacity.

Statutory Rape:

- o Non-forcible sexual intercourse,
- o with a person who is under the statutory age of consent.